



✓ 3624 #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
Asif Adatia :  
  
Application No.: 09/841,388 :  
Filed: April 24, 2001 : Group Art Unit: 3624  
For: AUTOMATED SECURITIES TRADE  
EXECUTION SYSTEM AND METHOD : Examiner: S.R. Wasylchak  
Attorney Docket No.: 11252-0005 :  
:

I, John F. Letchford, Registration No. 33,328, certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 5, 2004.

John F. Letchford

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APR 13 2004

**GROUP 3600**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

AMENDMENT/RESPONSE

In response to the Office Action dated December 5, 2003, Applicant respectfully requests entry of the following amendments into the above-identified application. Each of the amendments finds full support in the claims, specification and/or drawings as filed.

04/09/2004 STEUMEL1 00000005 09841388

01 FC:1202 54.00 OP  
02 FC:1201 86.00 OP

Application No.: 09/841,388  
Amendment Dated: April 5, 2004  
Reply to Office Action Dated: December 5, 2003

Enclosed herewith is a Petition under 37 CFR §1.136(a) requesting a one (1) month extension of time to respond to the Office Action, thereby extending the period for response thereto up to and including April 5, 2004. Also enclosed is a check (no. 37206) in the amount of \$250.00 to cover the Petition fee and the excess claims fee relating to the introduction of new claims 14-23, *infra*.

**Amendments to the Claims** begin on page 3 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.